# Know Your Customer (KYC) Requirements

Welcome to Viridian Exchange — Onboarding via AFS Execution Services

Dear Client,

Welcome to Viridian Exchange. As part of your onboarding journey, you will be invited to complete a **secure digital KYC (Know Your Customer) process**. You will receive a direct invitation link to complete this process online — quickly, securely, and entirely digitally. The questionnaire that follows in this document outlines the information and documentation that will be required so that you can prepare in advance.

## Why Is KYC Required?

KYC verification is a legal requirement under European anti-money laundering (AML) regulations and forms a core part of the obligations of any regulated financial services provider. Completing KYC allows Viridian Exchange and AFS Execution Services to:

- Verify your identity and the legal structure of your organisation.
- Screen against EU sanction lists and anti-money laundering (AML) watchlists.
- Protect the integrity of the trading infrastructure and safeguard all clients.
- Comply with the EU's Fourth and Fifth Anti-Money Laundering Directives (4AMLD / 5AMLD).

Providing accurate and complete information enables us to activate your account promptly and ensures uninterrupted access to the platform.

## Trade Execution Under MiFID II Regulated Infrastructure

All trades placed through Viridian Exchange are executed by **AFS Execution Services**, operating as part of a fully regulated MiFID II (Markets in Financial Instruments Directive) infrastructure. This regulatory framework has been specifically designed to ensure the highest standards of transparency, best execution, and client protection across European financial markets.

Operating under MiFID II provides meaningful protections for every client: your orders are handled under strict best-execution obligations, your assets are held in accordance with client money rules, and all market interactions are subject to regulatory oversight. This structure is in place to create safety and confidence for all participants on the platform.

## Where to Get Help

We understand that gathering KYC documentation can sometimes require coordination within your organisation. Support is available from two sources:

### 1. AFS Execution Services — Client Onboarding Team

Our dedicated onboarding team is available to guide you through each step of the KYC questionnaire, clarify exactly what documentation is required, and assist with any technical questions relating to the digital submission process. Please contact us at kyc@afsgroup.nl

### 2. Within Your Own Organisation

Several of the required documents — such as your company's Certificate of Incorporation, legal ownership structure, and UBO (Ultimate Beneficial Owner) details — are typically held by your **Legal, Compliance, or Finance teams**. We recommend engaging your internal legal counsel or company secretary at the outset to locate these materials. Doing so in advance will help ensure a smooth and timely completion of the onboarding process.

*The KYC questionnaire begins on the following page. Please review it carefully before commencing the digital submission process. Thank you for choosing Viridian Exchange.*

AFS Execution Services Client Onboarding Questionnaire

**Details**

| | |
|---|---|
| Company legal name | |
| Company legal address | |
| Country | |
| Legal Entity Identifier (LEI) | |
| VAT Number | |

**UBO**

| | No | Yes |
|---|---|---|
| Does your company have an UBO with 25% or more participation | | |
| Is your UBO, or one of your UBO's a Politically Exposed Person (PEP) | | |

Please fill in the information of your UBO. If you don't have an UBO please fill in the information of your pseudo UBO (CEO or Director).

| | **UBO I** | **UBO II** | or **Pseudo UBO** |
|---|---|---|---|
| Full name UBO | | | |
| Date of Birth | | | |
| Percentage in Company | | | |

**Check list**

| | **No** | **Yes** |
|---|---|---|
| Does your company represent a third party | √ | |
| Does your company conduct business in a country on the EU Sanction list (Link) | √ | |
| Does your company conduct business with a person on the EU Sanction list (Link) | √ | |
| Does your company do business with a country with strategic deficiencies in AML/CTF (Link) | √ | |
| Is your company UBO(s) or Pseudo UBO a resident of a country with Strategic Deficiencies in AML/CTF? | √ | |

Please confirm the prefilled No answers are correct. YES /NO

**If applicable, please provide the following documents:**

| | | |
|---|---|---|
| Certificate of Chamber of Commerce | | |
| Structure of Legal Ownership | | |
| Signatures of authorized traders/representatives | | |
| Financial statement over the last year | | |

**Confirmation and Declaration**

| | |
|---|---|
| Date | |
| Name Legal Representative | |
| Role Legal Representative | |

I hereby declare that the information furnished above is true, complete and correct to the best of my knowledge and belief.

Signature:

| |
|---|
| |